



# Ysgol Pentreuchaf

## 22. Polisi Cadw Cofnodion

Mae'r ysgol hon yn cydnabod bod angen system effeithlon i gadw ei chofnodion er mwyn cydymffurfio â'i dyletswyddau cyfreithiol a'i dyletswyddau rheoli a chyfrannu tuag at reoli'r sefydliad cyfan yn effeithiol. Felly, byddwn yn dilyn y gofynion a geir yn y ddogfen 'Record Management Society of Great Britain- Local Government Group, Retention Guidelines for Schools'.

Rhydd y ddogfen bolisi hon y fframwaith polisi a fydd yn galluogi trwy ei ddilyn, sicrhau'r rheolaeth effeithiol hon a'i harchwilio. Mae'n cwmpasu:

- Cwmpas
- Cyfrifoldebau
- Cysylltiadau gyda pholisïau sy'n bodoli eisoes
- Nodiadau cyfarwyddyd Deddf Gwarchod Data 1998
- Record Management society for G.B.- Local Government Group, Retention Guidelines for Schools'.

### 1 Cwmpas

Mae'r polisi hwn yn berthnasol i bob cofnod sy'n cael ei greu, ei dderbyn neu ei gadw gan staff yr ysgol wrth gyflawni eu dyletswyddau.

1.2 Diffinir cofnodion fel yr holl ddogfennau hynny sy'n hwyluso'r gorchwylion hynny yr ymgwymerir â hwy yn yr ysgol ac a gedwir ar ôl eu cyflawni, ar gyfer gwahanol gyfnodau penodol er mwyn darparu tystiolaeth o'i drafodion neu weithgareddau. Gellir creu, derbyn neu gadw'r cofnodion hyn ar ffurf copi caled neu'n electroneg.

1.3 O dro i dro, bydd y pennaeth yn dewis canran fechan o gofnodion yr ysgol i'w cadw'n barhaol fel rhan o archifau'r sefydliad.

**1.4 Mae'r ysgol yn cadw esiamplau o waith plant am gyfnod o 12 mis – nid oes darpariaeth i'w storio wedi hyn. Mewn perthynas ag adran 6.5 (viii) 'Gwaith Disgyblion' o Atodlen Cadw Cymdeithas Cadw Cofnodion, mae'r ysgol wedi penderfynu bydd yn rhyddhau enghreifftiau o waith plant i rieni ar ôl derbyn ceisiadau ysgrifenedig amdanynt yn ystod y cyfnod hwn. Bydd y pennaeth yn gyfrifol am sicrhau bod gwaith o'r fath wedi ei farcio yn unol â pholisïr ysgol, a'i archwilio er mwyn sicrhau na ellir ei ddefnyddio fel tystiolaeth mewn unrhyw gamau cyfreithiol y gellid eu cymryd yn y dyfodol.**

**\* Bydd pob plentyn yn mynd â 'gwaith' gartref yn achlysurol e.e. cerdyn Nadolig, llythyr, gwaith cartref a.y.y.b. Mae'n rhan o egwyddor y Cyfnod Sylfaen bod dysgwyr yn cael mynd a'u cynhyrchion gartref yn ddyddiol – wrth gwrs, ni fydd y gwaith yma wedi ei archwilio na'i farcio.**

### 2 Cyfrifoldebau

2.1 Mae gan yr ysgol gyfrifoldeb corfforaethol i gadw ei chofnodion a'i systemau cadw cofnodion yn unol â'r ethos rheoli. Pennaeth yr Ysgol sydd â chyfrifoldeb am y polisi hwn yn gyffredinol.

2.2 Bydd y sawl sy'n gyfrifol am gadw cofnodion yn yr ysgol yn rhoi arweiniad ar systemau da o gadw cofnodion a bydd yn hyrwyddo bod y polisi hwn yn cael ei ddilyn fel y gellir cael gafael ar wybodaeth yn rhwydd, mewn dull priodol ac mewn pryd.

2.3 Mae'n ofynnol ar i aelodau o'r staff a gweithwyr sicrhau bod cofnodion maent yn gyfrifol amdanynt yn fanlwg-wir, ac y cânt eu cadw ac y ceir gwared ohonynt yn unol â chanllawiau'r ysgol ar gadw cofnodion.

### 3 Cyswllt â pholisïau presennol

Lluniwyd y polisi hwn o fewn cyd-destun rheoliadau Gwarchod Data a Chyhoeddiadau, Polisi Cofnodion Cyffredinol a chydaddwrfaeth neu reoliadau eraill (yn cynnwys archwiliad, cyfleoedd cyfartal a moeseg) sy'n effeithio'r ysgol.

**Adolygir Chwefror 2017**

**Arwyddwyd: \_\_\_\_\_, Cadeirydd y Llywodraethwyr**

**Dyddiad: \_\_\_\_\_**

## Nodiadau Arweiniol ar Ddeddf Gwarchod Data 1998

Gellir gosod yr hawl i gael gweld gwybodaeth mae ysgolion yn ei chadw am ddisgyblion o dan y ddau gategori hyn:

1. Hawl gan ddisgybl neu eraill gael gweld cofnodion am y disgybl
2. Hawl i weld y Cofnod Addysgol

Ceir ystod eang i'r diffiniad o 'Cofnod Addysgol' ond mae'n cynnwys – cofnod cwricwlaidd, llwyddiannau academaidd, cynnydd o ran sgiliau a galluoedd yn yr ysgol a gohebiaeth –e.e. gan yr Awdurdod Addysg, Seicolegwyr, Bwrdd Iechyd neu rieni. **Nid yw'n cynnwys gwybodaeth a gedwir gan athrawon at eu defnydd personol yn unig.**

### 1. Hawl gan ddisgybl neu eraill gael gweld Cofnodion am y disgybl

Mae gan ddisgybl neu rywun sy'n gweithredu ar eu rhan, yr hawl i gael gweld gwybodaeth personol am y disgybl, a gedwir gan yr ysgol. Mae hyn yn cynnwys:

- Gwybodaeth a gedwir ar gyfrifiadur
- Gwybodaeth a gedwir ar ffeiliau strwythuredig
- Gwybodaeth yn eu cofnod addysgol
- Gwybodaeth heb ei strwythuro, e.e. a gedwir mewn gohebiaeth rhydd

Dylid anfon ceisiadau yn ysgrifenedig, ac mae gan ddisgybl hawl i wybod a yw'r ysgol yn cadw'r wybodaeth honno ai peidio. Mae gan ddisgyblion hefyd hawl i dderbyn disgrifiad o ffynonellau a sut y defnyddir y wybodaeth a gedwir amdanynt gan yr ysgol.

Nid yw'r Ddeddf yn pennu oedran y gall plentyn wneud cais am gael gweld gwybodaeth. Fel canllaw cyffredinol, bernir bod plentyn 12 oed neu hŷn yn ddigon aeddfed i wneud hynny – dylid ystyried ceisiadau achos wrth achos.

Gall rhywun sydd â chyfrifoldeb rhiant ofyn am gael gweld gwybodaeth ar ran plentyn, prun a yw'r plentyn yn deall natur y cais am gael gweld y wybodaeth honno ai peidio. Dylid egluro os ceir unrhyw amheuan mewn perthynas â chyfrifoldeb rhieni cyn ymateb. Gan ei bod yn ddyletswydd parchu preifatrwydd pobl eraill, os yw gwybodaeth ynghylch **unigolyn neu unigolion eraill** yn cael ei gynnwys wrth ymateb, dylid ystyried y cais yn ofalus a hepgor cyfeirio. (Dylid gofyn am gyngor yn y maes hwn)

### Gwybodaeth nad oes rhaid ei datgelu –

- Gwybodaeth a all achosi niwed difrifol i iechyd corfforol neu iechyd meddwl y disgybl;
- Gwybodaeth a fyddai'n datgelu bod plentyn mewn perygl o gael ei gamdrin;
- Gwybodaeth a gedwir ar fabwysiadu a chofnodion gorchymyn rhieni;
- Gwybodaeth a roddir i Lys Ynadon;
- Copïau o sgriptiau arholiad;
- Marciau arholiad cyn y cânt eu cyhoeddi'n swyddogol;
- Gwybodaeth heb ei strwythuro pe bai'n costio dros £450 i'w ganfod a rhoi'r wybodaeth.

### 2. Hawl i weld y Cofnod Addysgol

Mae gan rieni hawl annibynnol i weld cofnod addysgol eu plentyn. Dylai pob cais gael ei anfon yn ysgrifenedig at y pennaeth.

### Gwybodaeth nad oes rhaid ei datgelu -

- Gwybodaeth a all achosi niwed difrifol i iechyd corfforol neu iechyd meddwl y disgybl;
- Gwybodaeth ble byddai datgelu'r wybodaeth yn dangos bod plentyn mewn perygl o gael ei gam-drin;
- Gwybodaeth yn ymwneud â thrafodion o dan y Llys Ynadon;
- Gwybodaeth a fyddai'n datgelu lefelau cyrhaeddiad neu unrhyw ganlyniadau disgybl arall a enwir.

### Graddfeydd Amser

Ac eithrio mewn achos ble mae rhiant yn gofyn am gael gweld y cofnod addysgol swyddogol, rhaid ymateb i gais am wybodaeth o fewn yr amseroedd a nodir yn nogfennaeth yr ysgol ond dim hwyrach na 15 diwrnod gwaith o dderbyn cais.

### Taliadau

Polisi'r ysgol a nifer y tudalennau a ddarperir fydd yn pennu'r taliad. Fel canllaw cyffredinol, gellir codi tâl o £1 am bob 20 tudalen.

### Cyfieithiadau

Dylid cyfieithu dogfennau i'r Saesneg neu'r Gymraeg fel bo angen o fewn y raddfa amser a nodir mewn dogfennaeth ysgol.



RECORDS MANAGEMENT SOCIETY OF GREAT BRITAIN

LOCAL GOVERNMENT GROUP

RETENTION GUIDELINES FOR SCHOOLS

Version: 10<sup>th</sup> February 2006

This retention schedule contains recommended retention periods for the different record series created and maintained by schools in the course of their business. The schedule refers to all information regardless of the media in which it is stored.

Some of the retention periods are governed by statute. Others are guidelines following best practice. Every effort has been made to ensure that these retention periods are compliant with the requirements of the Data Protection Act 1998 and the Freedom of Information Act 2000.

Managing record series using these retention guidelines will be deemed to be "normal processing" under the legislation mentioned above. If record series are to be kept for longer or shorter periods than laid out in this document the reasons for this need to be documented.

This schedule should be reviewed on a regular basis.

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## **1. The purpose of the retention schedule**

Under the Freedom of Information Act 2000, schools are required to maintain a retention schedule listing the record series which the school creates in the course of its business. The retention schedule lays down the length of time which the record needs to be retained and the action which should be taken when it is of no further administrative use.

Members of staff are expected to manage their current record keeping systems using the retention schedule and to take account of the different kinds of retention periods when they are creating new record keeping systems.

The retention schedule refers to all information, regardless of the media in which they are stored.

## **2. Benefits of a retention schedule**

There are a number of benefits which arise from the use of a complete retention schedule:

- a. Managing records against the retention schedule is deemed to be “normal processing” under the Data Protection Act 1998 and the Freedom of Information Act 2000. Provided members of staff are managing record series using the retention schedule they can not be found guilty of unauthorised tampering with files once a freedom of information request or a data subject access request has been made.
- b. Members of staff can be confident about destroying information at the appropriate time.
- c. Information which is subject to Freedom of Information and Data Protection legislation will be available when required.
- d. The school is not maintaining and storing information unnecessarily.

## **3. Maintaining and amending the retention schedule**

Where appropriate the retention schedule should be reviewed and amended to include any new record series created and remove any obsolete record series.

## **4. What to do with records once they have reached the end of their administrative life**

### **4a Destruction of records**

Where records have been identified for destruction they should be disposed of in an appropriate way. All records containing personal information, or sensitive policy information should be shredded before disposal (if possible). Any other records should be bundled up and disposed of to a waste paper merchant or disposed of in other appropriate ways.

The Freedom of Information Act 2000 requires the school to maintain a list of records

which have been destroyed and who authorised their destruction. Members of staff should record at least:

- File reference (or other unique identifier);
- File title (or brief description);
- No of files
- The name of the authorising officer

This could be kept in an Excel spreadsheet or other database format.

#### **4b Transfer of records to the Archives**

Where records have been identified as being worthy of permanent preservation, arrangements should be made to transfer the records to the Archives. A list of the records sent to the archives should be created to include the information above.

[insert contact details here]

#### **4c Transfer of information to other media**

Where lengthy retention periods have been allocated to records, members of staff may wish to consider converting paper records to other media such as microform or digital media. The lifespan of the media and the ability to migrate data where necessary should always be considered.

### **5. Useful Contacts**

[insert useful contacts here]



### 6.1 Child Protection

The retention and use of records relating to child protection matters concerning pupils, and child protection allegations against staff requires specific guidance in this schedule. This will be subject to update following implementation of the recommendations by Sir Michael Bichard.

Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Child Protection files	Yes	Education Act 2002, s175, related guidance "Safeguarding Children in Education", September 2004	DOB + 25 years <sup>1</sup>	SHRED	Child Protection information must be copied and sent under separate cover to new school/college whilst the child is still under 18 (i.e. the information does not need to be sent to a university for example) Where a child is removed from roll to be educated at home, the file should be copied to the Local Education Authority.
Allegation of a child protection nature against a member of staff, including where the allegation is unfounded	Yes	Employment Practices Code: Supplementary Guidance 2.13.1 (Records of Disciplinary and Grievance) Education Act 2002 guidance "Dealing with Allegations of Abuse against Teachers and Other Staff" November 2005	Until the person's normal retirement age, or 10 years from the date of the allegation if that's longer	SHRED	Employment Practices Code: Supplementary Guidance 2.13.1 (Records of Disciplinary and Grievance "Records of allegations about workers who have been investigated and found to be without substance should not normally be retained once an investigation has been completed. There are some exceptions to this where for its own protection the employer has to keep a limited record that an allegation was received and investigated, for example, where the allegation relates to abuse and the worker is employed to work with children or other vulnerable individuals". Summary record to be retained on confidential personnel file, and a copy given to the person concerned.

<sup>1</sup> This amendment has been made in consultation with the Safeguarding Children Group.



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6.2 Governors					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Minutes					
<ul style="list-style-type: none"> <li>Principal set (signed)</li> </ul>	No		Permanent	Retain in school for 6 years from date of meeting	Transfer to Archives
<ul style="list-style-type: none"> <li>Inspection copies</li> </ul>	No		Date of meeting + 3 years	DESTROY [If these minutes contain any sensitive personal information they should be shredded]	
Agendas	No		Date of meeting	DESTROY	
Reports	No		Date of report + 6 years	Retain in school for 6 years from date of meeting	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Annual Parents' meeting papers	No		Date of meeting + 6 years	Retain in school for 6 years from date of meeting	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Instruments of Government	No		Permanent	Retain in school whilst school is open	Transfer to Archives when the school has closed
Trusts and Endowments	No		Permanent	Retain in school whilst operationally required	Transfer to Archives
Action Plans	No		Date of action plan	DESTROY	It may be appropriate to offer to

6.2 Governors					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
			+ 3 years		the Archives for a sample to be taken if the school has been through a difficult period
Policy documents	No		Expiry of policy	Retain in school whilst policy is operational (this includes if the expired policy is part of a past decision making process)	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Complaints files	Yes		Date of resolution of complaint + 6 years	Retain in school for the first six years Review for further retention in the case of contentious disputes Destroy routine complaints <b>Complaints alleging possible harm to a young person by a member of staff are covered in 6.1 above.</b>	
Annual Reports required by the Department for Education and Skills	No	Education (Governors' Annual Reports) (England) (Amendment) Regulations 2002.SI 2002 No 1171	Date of report + 10 years		Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Proposals for schools to become, or be established as Specialist Status schools	No		Current year + 3 years		Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]



Records Management Tool Kit for Schools  
Version 3  
Retention Guidelines – Summary of Contents

6.3 Management					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Log Books [Books where the Head-teacher or another member of staff keeps of record of what happens in the school, this may include details of events, photographs and other information]	Yes <sup>2</sup>		Date of last entry in the book + 6 years	Retain in the school for 6 years from the date of the last entry.	Transfer to the Archives
Minutes of the Senior Management Team and other internal administrative bodies	Yes <sup>1</sup>		Date of meeting + 5 years	Retain in the school for 5 years from meeting	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Reports made by the head teacher or the management team	Yes <sup>1</sup>		Date of report + 3 years	Retain in the school for 3 years from meeting	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Records created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities (except child protection records which are dealt with in section 6.1 above).	Yes <sup>1</sup>		Closure of file + 6 years	DESTROY If these records contain sensitive information they should be shredded	

<sup>2</sup> From January 1<sup>st</sup> 2005 subject access is permitted into unstructured filing systems and log books and other records created within the school containing details about the activities of individual pupils and members of staff will become subject to the Data Protection Act 1998.

6.3 Management					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Correspondence created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities	No		Date of correspondence + 3 years	DESTROY If these records contain sensitive information they should be shredded	
Professional development plans	Yes		Closure + 6 years	SHRED	
School development plans	No		Closure + 6 years	Review	Offer to the Archives

6.4 Pupils					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Admission Registers	Yes		Date of last entry in the book (or file) + 6 years	Retain in the school for 6 years from the date of the last entry.	Transfer to the Archives
Attendance registers	Yes		Date of register + 3 years	DESTROY [If these records are retained electronically any back up copies should be destroyed at the same time]	
Pupil record cards	Yes				

6.4 Pupils					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
<ul style="list-style-type: none"> <li>Primary</li> </ul>			Retain for the time which the pupil remains at the primary school	Transfer to the secondary school (or other primary school) when the child leaves the school. In the case of exclusion it may be appropriate to transfer the record to the Behaviour Service	
<ul style="list-style-type: none"> <li>Secondary</li> </ul>			DOB of the pupil + 25 years <sup>3</sup>	SHRED	
Pupil files	Yes				
<ul style="list-style-type: none"> <li>Primary</li> </ul>			Retain for the time which the pupil remains at the primary school	Transfer to the secondary school (or other primary school) when the child leaves the school. In the case of exclusion it may be appropriate to transfer the record to the Behaviour Service	
<ul style="list-style-type: none"> <li>Secondary</li> </ul>			DOB of the pupil + 25 years <sup>4</sup>	SHRED	
Special Educational Needs files, reviews and Individual Education Plans	Yes		DOB of the pupil + 25 year <sup>5</sup>	SHRED	

<sup>3</sup> In the case of exclusion it may be appropriate to transfer the record to the Behaviour Service

<sup>4</sup> As above

6.4 Pupils					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Letters authorising absence	No		Date of absence + 2 years	SHRED	
Absence books			Current year + 6 years	SHRED	
Examination results	Yes				
<ul style="list-style-type: none"> <li>Public</li> </ul>	No		Year of examinations + 6 years	DESTROY	Any certificates left unclaimed should be returned to the appropriate Examination Board
<ul style="list-style-type: none"> <li>Internal examination results</li> </ul>	Yes		Current year + 5 years <sup>6</sup>	DESTROY	
Any other records created in the course of contact with pupils	Yes/No		Current year + 3 years	Review at the end of 3 years and either allocate a further retention period or DESTROY	
Statement maintained under The Education Act 1996 - Section 324	Yes	Special Educational Needs and Disability Act 2001 Section 1	DOB + 30 years	DESTROY unless legal action is pending	
Proposed statement or amended statement	Yes	Special Educational Needs and Disability Act 2001 Section 1	DOB + 30 years	DESTROY unless legal action is pending	

<sup>5</sup> As above

<sup>6</sup> If these records are retained on the pupil file or in their National Record of Achievement they need only be kept for as long as operationally necessary.

6.4 Pupils					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Advice and information to parents regarding educational needs	Yes	Special Educational Needs and Disability Act 2001 Section 2	Closure + 12 years	DESTROY unless legal action is pending	
Accessibility Strategy	Yes	Special Educational Needs and Disability Act 2001 Section 14	Closure + 12 years	DESTROY unless legal action is pending	
Children SEN Files	Yes		Closure + 35 years	DESTROY unless legal action is pending	

6.5 Curriculum					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Curriculum development	No		Current year + 6 years	DESTROY	
Curriculum returns	No		Current year + 3 years	DESTROY	
School syllabus	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	
Schemes of work	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	
Timetable	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	



6.5 Curriculum					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Class record books	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	
Mark Books	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	
Record of homework set	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	
Pupils' work	No		Current year + 1 year	It may be appropriate to review these records at the end of each year and allocate a new retention period or DESTROY	
Examination results	Yes		Current year + 6 years	DESTROY [These records should be shredded]	
SATS records	Yes		Current year + 6 years	DESTROY [These records should be shredded]	
PANDA reports	Yes		Current year + 6 years	DESTROY [These records should be shredded]	
Value added records	Yes		Current year + 6 years	DESTROY [These records should be shredded]	

6.6 Personnel					



Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Timesheets, sick pay	Yes	Financial Regulations	Current year + 6 years	SHRED	
Staff Personal files	Yes		Termination + 7 years	SHRED	
Interview notes and recruitment records	Yes		Date of interview + 6 months	SHRED	
Pre-employment vetting information (including unsuccessful CRB checks)	No	CRB guidelines	Date of check + 6 months	SHRED [by the designates member of staff]	This information should be placed on the personnel file if the applicant is successful and managed according to the guidelines
Disciplinary proceedings for all matters <b>except</b> those relating to child protection issues (see 6.1 above):	Yes				
<ul style="list-style-type: none"> <li>• <i>Oral warning</i></li> </ul>			Date of warning + 6 months	SHRED If this is placed on a personal file, it must be weeded from the file.	
<ul style="list-style-type: none"> <li>• <i>written warning – level one</i></li> </ul>			Date of warning + 6 months	SHRED If this is placed on a personal file, it must be weeded from the file.	
<ul style="list-style-type: none"> <li>• <i>written warning – level two</i></li> </ul>			Date of warning + 12 months	SHRED If this is placed on a personal file, it must be weeded from the file.	
<ul style="list-style-type: none"> <li>• <i>final warning</i></li> </ul>			Date of warning + 18 months	SHRED If this is placed on a personal file, it must be weeded from the file.	

6.6 Personnel					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
<ul style="list-style-type: none"> <li>• <i>case not found</i> (except child protection allegations see section 6.1 above)</li> </ul>			DESTROY immediately at the conclusion of the case		
Records relating to accident/injury at work	Yes		Date of incident + 12 years	Review at the end of this period. In the case of serious accidents a further retention period will need to be applied	
Annual appraisal/assessment records	No		Current year + 5 years	SHRED	
Salary cards	Yes		Last date of employment + 6 years	The information should be transferred to the superannuation department at the appropriate time who will maintain the master record	SHRED
Maternity pay records	Yes	Statutory Maternity Pay (General) Regulations 1986 (SI 1986/1960), revised 1999 (SI 1999/567)	Current year, +3yrs	SHRED	

6.6 Personnel					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995	Yes		Last payment + 6 years	SHRED	

6.7 Health and Safety					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Accessibility Plans		Disability Discrimination Act	Current year + 6 years	DESTROY	
Accident Reporting		Social Security (Claims and Payments) Regulations 1979 Regulation 25. Social Security Administration Act 1992 Section 8. Limitation Act 1980			
<ul style="list-style-type: none"> <li>Adults – Accident Book</li> </ul>	Yes		Last entry in the accident book + 3 years	SHRED	

6.7 Health and Safety					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
• <i>Adults – Internal Reports</i>	Yes		Current year + 3 years	SHRED	
• <i>Children</i>	Yes		DOB + 25 years <sup>7</sup>	SHRED	
COSHH			Current year + 10 years	Review [where appropriate an additional retention period may be allocated]	
Incident reports	Yes		Current year + 20 years	SHRED	
Policy Statements			Date of expiry + 1 year	DESTROY	
Risk Assessments			Date assessment superseded + 3 years	DESTROY	
Process of monitoring of areas where employees and persons are likely to have come in contact with <b>asbestos</b>			Last action + 40 years	DESTROY	

<sup>7</sup> A child may make a claim for negligence for 7 years from their 18<sup>th</sup> birthday. To ensure that all records are kept until the pupil reaches the age of 25 this retention period has been applied.



6.7 Health and Safety					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Process of monitoring of areas where employees and persons are likely to have come in contact with <b>radiation</b>			Last action + 50 years	DESTROY	
Fire Precautions log books			Current year + 6 years	DESTROY	

6.8 Administrative					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Employer's Liability certificate			Permanent whilst the school is open	Retain for 40 years after school has closed	
Inventories of equipment and furniture			Disposal of last item + 6 years or date superseded + 6 years	DESTROY	
General file series			Current year + 5 years	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
School brochure/prospectus			Current year + 3 years		Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Circulars (staff/parents/pupils)			Current year + 1 year	DESTROY	
Newsletters, ephemera			Current year + 1 year	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Visitors' book			Current year + 2 years	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
PTA/Old Pupils' Associations			Current year + 6 years	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]

6.9 Finance					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Annual Accounts		Financial Regulations	Current year + 6 years		Offer to the Archives
Loans and grants		Financial Regulations	Date of last payment on loan + 12 years	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Contracts					
<ul style="list-style-type: none"> <li>under seal</li> </ul>			Contract completion date + 12 years	SHRED	
<ul style="list-style-type: none"> <li>under signature</li> </ul>			Contract completion date + 6 years	SHRED	
<ul style="list-style-type: none"> <li>monitoring records</li> </ul>			Current year + 2 years	SHRED	
Copy orders			Current year + 2 years	SHRED	
Budget reports, budget monitoring etc			Current year + 3 years	SHRED	
Invoice, receipts and other records covered by the Financial Regulations		Financial Regulations	Current year + 6 years	SHRED	
Annual Budget and background papers			Current year + 6 years	SHRED	
Order books and requisitions			Current year + 6 years	SHRED	
Delivery Documentation			Current year + 6 years	SHRED	
Debtors' Records		Limitation Act 1980	Current year + 6 years	SHRED	
School Fund – Cheque books			Current year + 3 years	SHRED	
School Fund – Paying in books			Current year + 6 years	SHRED	



6.9 Finance					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
School Fund – Ledger			Current year + 6 years	SHRED	
School Fund – Invoices			Current year + 6 years	SHRED	
School Fund – Receipts			Current year + 6 years	SHRED	
School Fund – Bank statements			Current year + 6 years	SHRED	
School Fund – School Journey books			Current year + 6 years	SHRED	
Applications for free school meals, travel, uniforms etc			Whilst child at school	SHRED	
Student grant applications			Current year + 3 years	SHRED	
Free school meals registers	Yes	Financial Regulations	Current year + 6 years	SHRED	
Petty cash books		Financial Regulations	Current year + 6 years	SHRED	

6.10 Property					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Title Deeds			Permanent	These should follow the property	Offer to Archives
Plans			Permanent	Retain until superseded then offer to archives before destruction	Offer to Archives
Maintenance and contractors		Financial Regulations	Current year + 6 years	DESTROY	
Leases			Expiry of lease + 6 years	DESTROY	

6.10 Property					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Lettings			Current year + 3 years	DESTROY	
Burglary, theft and vandalism report forms			Current year + 6 years	SHRED	
Maintenance log books			Last entry + 10 years	DESTROY	
Contractors' Reports			Current year + 6 years	DESTROY	

6.11 LEA					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Secondary transfer sheets (Primary)	Yes		Current year + 2 years	SHRED	
Attendance returns	Yes		Current year + 1 year	DESTROY	
Circulars from LEA			Whilst operationally required	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]

6.12 DfES					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	

6.12 DfES					
Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
HMI reports			These do not need to be kept any longer		Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
OFSTED reports and papers			Replace former report with any new inspection report	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]
Returns			Current year + 6 years	DESTROY	
Circulars from DfES			Whilst operationally required	Review to see whether a further retention period is required	Transfer to Archives [The appropriate archivist will then take a sample for permanent preservation]

**6.13 Connexions**

Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Service level agreements			Until superseded	SHRED	
Work Experience agreement			DOB of child + 18 years	SHRED	

**6.14 School Meals**

Basic file description	Data Prot Issues	Statutory Provisions	Retention Period [operational]	Action at the end of the administrative life of the record	
Dinner Register			Current year + 3 years	SHRED	
School Meals Summary Sheets			Current year + 3 years	SHRED	